

TABLE 1—ANNUAL ADJUSTMENTS TO NEW RESTRICTIONS ON LOBBYING CIVIL MONETARY PENALTIES, 2016–2021

Year	Baseline penalty range	Applicable multiplier based on percent increase in CPI–U	New baseline penalty range
2016	\$10,000–\$100,000	⁴ 1.89361	\$18,936–\$189,361
2017	\$18,936–\$189,361	⁵ 1.01636	\$19,246–\$192,459
2018	\$19,246–\$192,459	⁶ 1.02041	\$19,639–\$196,387
2019	\$19,639–\$196,387	⁷ 1.02522	\$20,134–\$201,340
2020	\$20,134–\$201,340	⁸ 1.01764	\$20,489–\$204,892
2021	\$20,489–\$204,892	⁹ 1.01182	\$20,731–\$207,314

Dated: January 8, 2021.

Caitlin Cater,

Attorney-Advisor, National Endowment for the Humanities.

[FR Doc. 2021–00582 Filed 1–13–21; 8:45 am]

BILLING CODE 7536–01–P

SECURITIES AND EXCHANGE COMMISSION

[SEC File No. 270–146, OMB Control No. 3235–0134]

Submission for OMB Review; Comment Request

Upon Written Request, Copies Available From: U.S. Securities and Exchange Commission, Office of FOIA Services, Washington, DC 20549–2736

Extension:

Rule 15c1–7

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (“PRA”) (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (“Commission”) has submitted to the Office of Management and Budget (“OMB”) a request for approval of extension of the existing collection of information provided for in Rule 15c1–7 (17 CFR 240.15c1–7) under the Securities Exchange Act of 1934 (15 U.S.C. 78a *et seq.*) (“Exchange Act”).

Rule 15c1–7 states that any act of a broker-dealer designed to effect securities transactions with or for a customer account over which the broker-dealer (directly or through an agent or employee) has discretion will be considered a fraudulent,

manipulative, or deceptive practice under the federal securities laws, unless a record is made of the transaction immediately by the broker-dealer. The record must include (a) the name of the customer, (b) the name, amount, and price of the security, and (c) the date and time when such transaction took place. The Commission estimates that 362 respondents collect information related to approximately 400,000 transactions annually under Rule 15c1–7 and that each respondent would spend approximately 5 minutes on the collection of information for each transaction, for approximately 33,333 aggregate hours per year (approximately 92.1 hours per respondent).

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information under the PRA unless it displays a currently valid OMB control number.

The public may view background documentation for this information collection at the following website: www.reginfo.gov. Find this particular information collection by selecting “Currently under 30-day Review—Open for Public Comments” or by using the search function. Written comments and recommendations for the proposed information collection should be sent within 30 days of publication of this notice to (i) www.reginfo.gov/public/do/PRAMain and (ii) David Bottom, Director/Chief Information Officer, Securities and Exchange Commission, c/o Cynthia Roscoe, 100 F Street NE, Washington, DC 20549, or by sending an email to: PRA_Mailbox@sec.gov.

Dated: January 11, 2021.

J. Matthew DeLesDernier,

Assistant Secretary.

[FR Doc. 2021–00728 Filed 1–13–21; 8:45 am]

BILLING CODE 8011–01–P

SECURITIES AND EXCHANGE COMMISSION

[Release Nos. 33–10919; 34–90882; File No. 265–32]

SEC Small Business Capital Formation Advisory Committee

AGENCY: Securities and Exchange Commission.

ACTION: Notice of meeting.

SUMMARY: The Securities and Exchange Commission Small Business Capital Formation Advisory Committee, established pursuant to Section 40 of the Securities Exchange Act of 1934 as added by the SEC Small Business Advocate Act of 2016, is providing notice that it will hold a public meeting by videoconference. The public is invited to submit written statements to the Committee.

DATES: The meeting will be held on Friday, January 29, 2021, from 10:00 a.m. to 2:30 p.m. (ET) and will be open to the public. Written statements should be received on or before January 29, 2021.

ADDRESSES: The meeting will be conducted by remote means (videoconference). Members of the public may attend the meeting by viewing the webcast on the Commission’s website at www.sec.gov. Written statements may be submitted by any of the following methods:

Electronic Statements

- Use the Commission’s internet submission form (<https://www.sec.gov/rules/submitcomments.htm>); or
- Send an email message to rule-comments@sec.gov. Please include File Number

265–32 on the subject line; or

Paper Statements

- Send paper statements to Vanessa A. Countryman, Secretary, Securities and Exchange Commission, 100 F Street, NE, Washington, DC 20549–1090.

All submissions should refer to File No. 265–32. This file number should be

⁴ OMB Memorandum M–16–06 (February 24, 2016).

⁵ OMB Memorandum M–17–11 (December 16, 2016).

⁶ OMB Memorandum M–18–03 (December 15, 2017).

⁷ OMB Memorandum M–19–04 (December 14, 2018).

⁸ OMB Memorandum M–20–05 (December 16, 2019).

⁹ OMB Memorandum M–21–10 (December 23, 2020).