

4 thereunder.<sup>8</sup> At any time within 60 days of the filing of this proposed rule change, the Commission may summarily abrogate this proposal if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act.

#### IV. Solicitation of Comments

The Commission notes that although NASD Notice to Members 01-23 does not expressly discuss electronic communications that recommend investment strategies, the NASD suitability rule continues to apply to the recommendation of investment strategies, whether that recommendation is made via electronic communication or otherwise.<sup>9</sup>

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Persons making written submissions should file six copies thereof with the Secretary, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, DC 20549-0609. Copies of the submission, all subsequent amendments, all written statements with respect to the Notice to Members that are filed with the Commission, and all written communications relating to the Notice to Members between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for inspection and copying in the Commission's Public Reference Room. Copies of such filing will also be available for inspection and copying at the principal office of the NASD. All submissions should refer to File No. SR-NASD-2001-20 and should be submitted by May 15, 2001.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.<sup>10</sup>

**Margaret H. McFarland,**  
*Deputy Secretary.*

[FR Doc. 01-10109 Filed 4-23-01; 8:45 am]

**BILLING CODE 8010-01-P**

#### SMALL BUSINESS ADMINISTRATION

##### [Declaration of Disaster #3333]

##### State of Mississippi

As a result of the President's major disaster declaration on April 17, 2001, I find that Attala, Holmes, and Lee Counties in the State of Mississippi constitute a disaster area due to damages caused by Flooding and Severe Storms occurring between April 3-5, 2001. Applications for loans for physical damage as a result of this disaster may be filed until the close of business on June 17, 2001 and for economic injury until the close of business on January 17, 2002 at the address listed below or other locally announced locations: U.S. Small Business Administration, Disaster Area 2 Office, One Baltimore Place, Suite 300, Atlanta, GA 30308.

In addition, applications for economic injury loans from small businesses located in the following contiguous counties in Mississippi may be filed until the specified date at the above location: Carroll, Chickasaw, Choctaw, Humphreys, Itawamba, Leake, Leflore, Madison, Monroe, Montgomery, Neshoba, Pontotoc, Prentiss, Union, Winston and Yazoo.

The interest rates are:

For Physical Damage:	Percent
Homeowners with credit available elsewhere .....	6.625
Homeowners without credit available elsewhere .....	3.312
Businesses with credit available elsewhere .....	8.000
Businesses and non-profit organizations without credit available elsewhere .....	4.000
Others (including non-profit organizations) with credit available elsewhere .....	7.125
For Economic Injury:	
Businesses and small agricultural cooperatives without credit available elsewhere .....	4.000

The number assigned to this disaster for physical damage is 333306. For economic injury the number is 9L4900.

(Catalog of Federal Domestic Assistance Program Nos. 59002 and 59008).

Dated: April 18, 2001.

**Herbert L. Mitchell,**  
*Associate Administrator for Disaster Assistance.*

[FR Doc. 01-10146 Filed 4-23-01; 8:45 am]

**BILLING CODE 8025-01-U**

#### SMALL BUSINESS ADMINISTRATION

##### [License No. 09/09-5279]

##### Notice of Surrender of License

Notice is hereby given that Asian American Capital Corporation, located

at 1251 West Tennyson Road, Suite 4, Hayward, California 94544, has surrendered its license to operate as a small business investment company under the Small Business Investment Act of 1958, as amended (the Act). Asian American Capital Corporation was licensed by the Small Business Administration on February 23, 1981.

Under the authority vested by the Act and pursuant to the Regulations promulgated thereunder, the surrender was acted on this date, and accordingly, all rights, privileges and franchises derived therefrom have been terminated.

(Catalog of Federal Domestic Assistance Program No. 59.11, Small Business Investment Companies)

Dated: April 17, 2001.

**Harry E. Haskins,**  
*Acting Associate Administrator for Investment.*

[FR Doc. 01-10097 Filed 4-23-01; 8:45 am]

**BILLING CODE 8025-01-P**

#### SOCIAL SECURITY ADMINISTRATION

##### Statement of Organization, Functions and Delegations of Authority

This statement amends Part T of the Statement of the Organization, Functions and Delegations of Authority which covers the Social Security Administration (SSA). Chapter TA covers the Deputy Commissioner for Disability and Income Security Programs. Notice is hereby given that Subchapter TAH, Office of Hearings and Appeals, is being amended to reflect a realignment of functional responsibilities within the Office of the Associate Commissioner and realignment and expansion of functional responsibilities in the Office of Management (TAHE). The new material and changes are as follows:

Section TAH.10 The Office of Hearings and Appeals—(Organization):

C. The Immediate Office of the Associate Commissioner for Hearings and Appeals (TAH).

Establish:

3. The Equal Employment Opportunity Staff (TAH-3).

H. The Office of Management (TAHE).

Abolish:

1. The Equal Employment Opportunity Staff (TAHE1).

6. The Division of Management Analysis and Employee Development (TAHE6).

Retitle:

<sup>8</sup> 17 CFR 240.19b-4(f)(1).

<sup>9</sup> See F.J. Kaufman, 50 S.E.C. 164, Securities Exchange Act Release No. 27535 (December 13, 1989) (Recommendation of margined buy-write strategy found unsuitable for certain customers).

<sup>10</sup> 17 CFR 200.30-3(a)(12).

5. The "Office Automation Support Staff" as "Division of Technology Information Integration" (TAHE7).  
Establish:

1. The Division of Management Analysis (TAHE8).

6. The Division of Training and Employee Development (TAHE9).

Section TAH.20 The Office Hearings and Appeals—(Functions):

C. The Immediate Office of the Associate Commissioner for Hearings and Appeals (TAH).

Establish:

3. The Equal Employment Opportunity Staff (TAH-3).

The Equal Employment Opportunity Staff (TAH-3) is responsible for OHA's Equal Employment Opportunity (EEO) Program. Plans, develops, implements and monitors OHA's Affirmative Action Program, and administers the EEO complaint process for OHA Headquarters. Provides guidance for, and monitoring of OHA regional EEO programs.

H. Office of Management (TAHE).

Delete sentences number 6, 9 and 10. Specifically, delete sentence #6 which reads:

"Plans, directs and implements an Equal Employment Opportunity (EEO) program within OHA." In addition, delete sentences #9 and #10 which read as follows: "Plans, directs and provides administrative support services in the areas of safety and self-protection. Administers security programs and inspections, and coordinates with local law enforcement officials to ensure protection of OHA property and personnel, including emergency planning and security."

Abolish in their entirety:

1. The Equal Employment Opportunity Staff (TAHE1).

6. The Division of Management Analysis and Employee Development (TAHE6).

Amend as follows:

3. The Division of Materiel Resource (TAHE4).

Add as the last two sentences of the functional statement: "Plans, directs, and provides administrative support services in the areas of safety and self-protection. Administers security programs and inspections, and coordinate with local law enforcement officials to ensure protection of OHA property and personnel, including emergency planning and security. Researches and develops the Family Friendly Workplace initiative for OHA, including childcare, eldercare, telecommuting centers, wellness programs, etc."

Retitle and amend as follows:

5. The "Office Automation Support Staff" as "Division of Technology Information Integration" (TAHE7).

The Division of Information Technology Integration leads the effort in developing OHA's Information Technology strategy consistent with SSA's system architecture. Represents OHA in various agency executive level meetings in planning and reviewing systems projects. Provides office automation and data processing support to all OHA components. Develops and coordinates functional requirements specifications for all new OHA systems and modifications to existing systems in direct support of the hearings and appeals workloads as well as administrative information systems. Develops proposed automation initiatives in response to user needs and new legislative requirements. Directs and coordinates user requirements through the Automation Planning Groups. Provides logistical support to the Office of Systems (OS) during the implementation of new applications and technology. Develops performance criteria, and approves the resulting system for operational acceptance. Provides systems support for the planning, design and development of functional requirements and the specification, validation and implementation of all OHA IT initiatives. Serves as liaison with ODISP, OS and other agency components on all long-range IT goals and objectives, and ensures that OHA's IT strategy, methodology and approaches are in agreement with SSA's Agency Strategic Plan, future process change initiatives/visions, System Architecture and Entrepreneurial Activities. Ensures that OHA systems related projects are incorporated in the Systems 5-Year Plans at appropriate times. Identifies OHA training needs with respect to systems activities, and coordinates with responsible OHA and/or other agency components to ensure end-users needs are met. Designs and conducts training, if necessary. Develops requirements and cost benefit analysis for the OHA ITS budget submissions. Evaluates OHA user requests for IT services, equipment and software. Monitors OHA's ITS small purchase procurements through the procurement cycle. Under the guidance of SSA's Systems Security directs the operations of the agency's automated access control program for OHA. Coordinates the agency's security initiatives in support of all OHA business processes. Coordinates security training and awareness activities for OHA. In conjunction with the Division

of Materiel Resources, is responsible for the security, maintenance and integrity of the IT equipment inventory. Provides coordination and support to OS to ensure efficient and effective implementation and operation of the agency's nationwide telecommunications network used by OHA. Maintains liaison with OHA regional systems staff and Headquarters staff for the purpose of identifying operation problems or needs, and makes recommendations to OS to resolve outstanding issues. Coordinates and performs site preparation, workstation and server installations in Headquarters and the field. Coordinates and monitors maintenance issues for IT equipment nationwide. Performs e-mail administration for OHA Headquarters. Assists OS in performing e-mail administration for OHA field offices. Following guidance from the agency and coordinating with other Webmasters, performs OHA's Internet/Intranet Webmaster functions. Directs the operation of the Model OHA Office in Falls Church.

Establish:

1. The Division of Management Analysis (TAHE8):

The Division of Management Analysis advises the Director, Office of Management and the Associate Commissioner in all management areas involving management practices, management analysis, operational analysis and the resolution of management/employee concerns and problems. Plans, designs and administers evaluation programs and tracking systems to assess the efficiency and effectiveness of OHA operations in the field and Headquarters. Serves as the focal point of contact for reporting and monitoring strategic planning initiatives. Also serves as a point of contact for initiatives related to human resources, labor relations, employee recognition and communicates such issues to OHA managers. Coordinates the General Accounting Office, the Office of Inspector General, SSA and other Agencies' studies of OHA operations. Directs OHA's administrative delegations of authority and planning activities.

6. The Division of Training and Employee Development (TAHE9):

The Division of Training and Employee Development administers and leads OHA's employee development, career and succession planning programs, directs the general activities of the OHA National Training Center in Kansas City and manages the Learning Resource Center. Provides overall training leadership that reflects the needs of OHA and develops overall

OHA training policy for the agency's strategic plans. Manages funding and other resources used to support the OHA training function. Provides technical assistance to components to conduct needs analysis, integrates component lists of training needs and prioritizes them. Decides what training vehicles are best for the training to be provided. Maintains technical leadership in training technology. Leads the overall OHA training evaluation program, assuring that the evaluation process is tied into the budget planning cycle so that expenditures can be accounted for in accordance with the requirements of the Office of Management and Budget. Plans annual training, estimates the funding needs, and ties funding requests and funding expenditures to strategic objectives and individual competencies."

Dated: April 16, 2001.

**Paul D. Barnes,**

*Deputy Commissioner for Human Resources.*

[FR Doc. 01-10081 Filed 4-23-01; 8:45 am]

**BILLING CODE 4191-02-U**

## DEPARTMENT OF STATE

### Bureau of Population, Refugees, and Migration

[Public Notice 3649]

#### Notice of Information Collection Under Emergency Review; Refugee Biographic Data, OMB # 1405-0102

**ACTION:** Notice.

**SUMMARY:** The Department of State has submitted the following information collection request to the Office of Management and Budget (OMB) for review and approval in accordance with the emergency review procedures of the Paperwork Reduction Act of 1995.

*Type of Request:* Reinstatement of collection for which approval has expired.

*Originating Office:* Bureau of Population, Refugees, and Migration (PRM).

*Title of Information Collection:* Refugee Biographic Data.

*Frequency:* On occasion.

*Form Number:* N/A.

*Respondents:* Refugees Abroad.

*Estimated Number of Respondents:* 80,000.

*Average Hours Per Response:* 30 minutes.

*Total Estimated Burden:* 40,000 hours.

The proposed information collection is published to obtain comments from the public and affected agencies.

Emergency review and approval of this collection has been requested from OMB by April 21, 2001. If granted, the emergency approval is only valid for 180 days. Comments should be directed to the State Department Desk Officer, Office of Information and Regulatory Affairs, Office of Management and Budget (OMB), Washington, DC 20530, (202) 395-3897.

During the first 60 days of this same period a regular review of this information collection is also being undertaken. Comments are encouraged and will be accepted until 60 days from the date of publication of this notice in the **Federal Register**. The agency requests written comments and suggestions from the public and affected agencies concerning the proposed collection of information. Your comments are being solicited to permit the agency to:

- Evaluate the accuracy of the agency's estimate of the burden of the proposed collection, including the validity of the methodology and assumptions used.
- Enhance the quality, utility, and clarity of the information to be collected.
- Minimize the reporting burden on those who are to respond, including through the use of automated collection techniques or other forms of technology.

**FOR ADDITIONAL INFORMATION:** Public comments, or requests for additional information, regarding the collection listed in this notice should be directed to Terry Rusch, Director Office of Admissions, Bureau for Population, Refugees and Migration, U.S. Department of State, Washington, DC 20520 (202-663-1047).

Dated: April 4, 2001.

**James. P. Kelley,**

*Executive Director, Bureau of Population, Refugees and Migration, Department of State.*

[FR Doc. 01-10128 Filed 4-23-01; 8:45 am]

**BILLING CODE 4710-33-U**

## DEPARTMENT OF TRANSPORTATION

### Coast Guard

[USCG 2001-9433]

#### Collection of Information Under Review by Office of Management and Budget (OMB): OMB Control Number 2115-0619

**AGENCY:** Coast Guard, DOT.

**ACTION:** Request for comments.

**SUMMARY:** In compliance with the Paperwork Reduction Act of 1995, the Coast Guard intends to seek the

approval of OMB for the renewal of one Information Collection Request (ICR). The ICR comprises Inflatable Personal Flotation Devices for Recreational Vessels. Before submitting the ICR to OMB, the Coast Guard is requesting comments on it.

**DATES:** Comments must reach the Coast Guard on or before June 25, 2001.

**ADDRESSES:** You may mail comments to the Docket Management System (DMS) [USCG 2001-9433], U.S. Department of Transportation (DOT), room PL-401, 400 Seventh Street SW., Washington, DC 20590-0001, or deliver them to room PL-401, located on the Plaza Level of the Nassif Building at the same address between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays. The telephone number is 202-366-9329.

The DMS maintains the public docket for this request. Comments will become part of this docket and will be available for inspection or copying in room PL-401, located on the Plaza Level of the Nassif Building at the above address between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays. You may also access this docket on the Internet at <http://dms.dot.gov>.

Copies of the complete ICR are available through this docket on the Internet at <http://dms.dot.gov> and also from Commandant (G-CIM-2), U.S. Coast Guard Headquarters, room 6106 (Attn: Barbara Davis), 2100 Second Street SW., Washington, DC 20593-0001. The telephone number is 202-267-2326.

**FOR FURTHER INFORMATION CONTACT:** Barbara Davis, Office of Information Management, 202-267-2326, for questions on this document; or Dorothy Beard, Chief, Documentary Services Division, U.S. Department of Transportation, 202-366-5149, for questions on the docket.

#### Request for Comments

The Coast Guard encourages interested persons to submit written comments. Persons submitting comments should include their names and addresses, identify this document [USCG 2001-9433], and give the reason for the comments. Please submit all comments and attachments in an unbound format no larger than 8½ by 11 inches, suitable for copying and electronic filing. Persons wanting acknowledgment of receipt of comments should enclose stamped self-addressed postcards or envelopes.

#### Information Collection Request

1. *Title:* Inflatable Personal Flotation Devices for Recreational Vessels.