

## DIFFERENCES IN DFA STRESS TEST REQUIREMENTS FOR HOLDING COMPANIES VERSUS BANKS AND THRIFTS—Continued

	Bank holding companies and savings and loan holding companies	Banks and thrifts
Public disclosure of company-run stress tests.	Disclosure must include information on stress tests conducted by subsidiaries subject to DFA stress tests.	Disclosure requirement met when parent company disclosure includes the required information on the bank or thrift's stress test results, unless the company's primary regulator determines that the disclosure at the holding company level does not adequately capture the potential impact of the scenarios on the capital of the company.

Dated: February 19, 2014.

**Thomas J. Curry,**

*Comptroller of the Currency.*

By order of the Board of Governors of the Federal Reserve System, March 5, 2014.

**Robert deV. Frierson,**

*Secretary of the Board.*

Dated at Washington, DC, this 5th day of March, 2014.

Federal Deposit Insurance Corporation.

**Robert E. Feldman,**

*Executive Secretary.*

[FR Doc. 2014-05518 Filed 3-12-14; 8:45 am]

**BILLING CODE 4810-33-P; 6714-01-P; 6210-01-P**

## DEPARTMENT OF TRANSPORTATION

### Federal Aviation Administration

#### 14 CFR Part 39

[Docket No. FAA-2011-1158; Directorate Identifier 2010-SW-018-AD; Amendment 39-17765; AD 2011-22-05 R1]

**RIN 2120-AA64**

#### **Airworthiness Directives; Airbus Helicopters (Type Certificate Previously Held By Eurocopter France) (Airbus Helicopters)**

**AGENCY:** Federal Aviation Administration (FAA), DOT.

**ACTION:** Final rule.

**SUMMARY:** We are revising Airworthiness Directive (AD) 2011-22-05 for Eurocopter France (Eurocopter) Model AS350B, B1, B2, B3, BA, C, D, D1, AS355E, F, F1, F2, N, and NP helicopters with certain tail rotor (T/R) pitch control rods (control rods) installed. AD 2011-22-05 required checking the control rod for play before the first flight of each day. This new AD requires checking the control rod for play within 30 hours time-in-service (TIS) and, if no bearing play is detected, thereafter at intervals not to exceed 30 hours TIS. The actions in this AD are intended to prevent failure of a T/R control rod, loss of T/R control, and subsequent loss of control of the helicopter.

**DATES:** This AD is effective April 17, 2014.

**ADDRESSES:** For service information identified in this AD, contact Airbus Helicopters, Inc., 2701 N. Forum Drive, Grand Prairie, TX 75052; telephone (972) 641-0000 or (800) 232-0323; fax (972) 641-3775; or at <http://www.airbushelicopters.com/techpub>. You may view this referenced service information at the FAA, Office of the Regional Counsel, Southwest Region, 2601 Meacham Blvd., Room 663, Fort Worth, Texas 76137.

#### **Examining the AD Docket**

You may examine the AD docket on the Internet at <http://www.regulations.gov> in Docket No. FAA-2011-1158 or in person at the Docket Management Facility between 9 a.m. and 5 p.m., Monday through Friday, except Federal holidays. The AD docket contains this AD, the European Aviation Safety Agency (EASA) AD, any incorporated-by-reference information, the economic evaluation, any comments received, and other information. The address for the Docket Office (phone: 800-647-5527) is Document Management Facility, U.S. Department of Transportation, Docket Operations, M-30, West Building Ground Floor, Room W12-140, 1200 New Jersey Avenue SE., Washington, DC 20590.

**FOR FURTHER INFORMATION CONTACT:** Robert Grant, Aviation Safety Engineer, Safety Management Group, FAA, 2601 Meacham Blvd., Fort Worth, Texas 76137; telephone (817) 222-5110; email [robert.grant@faa.gov](mailto:robert.grant@faa.gov).

#### **SUPPLEMENTARY INFORMATION:**

##### **Discussion**

We issued a notice of proposed rulemaking (NPRM) to amend 14 CFR part 39 to revise AD 2011-22-05, Amendment 39-16847 (76 FR 70046, November 10, 2011). AD 2011-22-05 applied to Eurocopter Model AS350B, B1, B2, B3, BA, C, D, D1; and Model AS355E, F, F1, F2, N, and NP helicopters with T/R control rod, part number (P/N) 350A33-2100-00, -01, -02, -03, -04; P/N 350A33-2121-00,

-01, -02; P/N 350A33-2143-00; or P/N 350A33-2145-00 or -01, installed. AD 2011-22-05 required checking the control rod for play before the first flight of each day. The NPRM, published in the **Federal Register** on September 26, 2013 (78 FR 59298), proposed to extend the required time to check control rod play to within 30 hours TIS and, if no bearing play is detected, thereafter at intervals not to exceed 30 hours TIS.

The NPRM was based on our determination that we can safely extend the compliance time for the initial bearing play check and the interval for recurring checks. We also clarified the requirements of that check and removed a previous requirement that if the Teflon cloth is coming out of its normal position within the bearing, or if there is discoloration or scoring on the bearing, that the control rod be replaced with an airworthy rod before further flight. These actions are intended to prevent failure of a control rod, loss of T/R control, and subsequent loss of control of the helicopter.

Since we issued the NPRM, Eurocopter France has changed its name to Airbus Helicopters. This AD reflects that change and updates the contact information to obtain service documentation.

#### **Comments**

We gave the public the opportunity to participate in developing this AD, but we received no comments on the NPRM (78 FR 59298, September 26, 2013).

#### **FAA's Determination**

These helicopters have been approved by the aviation authority of France and are approved for operation in the United States. Pursuant to our bilateral agreement with France, EASA, its technical representative, has notified us of the unsafe condition described in the EASA AD. We are issuing this AD because we evaluated all information provided by EASA and determined the unsafe condition exists and is likely to exist or develop on other helicopters of these same type designs and that air safety and the public interest require adopting the AD requirements as

proposed except for the minor change previously described. This change is consistent with the intent of the proposals in the NPRM (78 FR 59298, September 26, 2013) and will not increase the economic burden on any operator nor increase the scope of the AD.

#### Related Service Information

Eurocopter issued Alert Service Bulletin (ASB) No. 05.00.60 for the Model AS350 series helicopters, and ASB No. 05.00.56 for the Model AS355 series helicopters, both Revision 0, and both dated December 9, 2009. These ASBs specify performing an initial and recurring check for play in the pitch-change links. If axial play in the ball-joint is detectable, the ASBs specify removing the pitch-change link and measuring the bearing wear using a dial indicator. EASA classified these ASBs as mandatory and issued EASA AD No. 2010-0006, dated January 7, 2010, to ensure the continued airworthiness of these helicopters.

#### Costs of Compliance

We estimate that this AD affects 936 helicopters of U.S. Registry. We estimate, per helicopter, it will take minimal work-hours to do the check, 1 work-hour to measure the bearing play, and 1 work-hour to replace 1 control rod. The average labor rate is \$85 per work-hour. Required parts cost about \$1,724 to replace a control rod per helicopter. Based on these figures, we estimate the cost of this AD on U.S. operators is minimal for the check. Measuring the bearing play, if needed, costs \$85 per helicopter, and replacing 1 control rod costs \$1,809 per helicopter.

#### Authority for This Rulemaking

Title 49 of the United States Code specifies the FAA's authority to issue rules on aviation safety. Subtitle I, Section 106, describes the authority of the FAA Administrator. Subtitle VII, Aviation Programs, describes in more detail the scope of the Agency's authority.

We are issuing this rulemaking under the authority described in Subtitle VII, Part A, Subpart III, Section 44701, "General requirements." Under that

section, Congress charges the FAA with promoting safe flight of civil aircraft in air commerce by prescribing regulations for practices, methods, and procedures the Administrator finds necessary for safety in air commerce. This regulation is within the scope of that authority because it addresses an unsafe condition that is likely to exist or develop on products identified in this rulemaking action.

#### Regulatory Findings

We have determined that this AD will not have federalism implications under Executive Order 13132. This AD will not have a substantial direct effect on the States, on the relationship between the national government and the States, or on the distribution of power and responsibilities among the various levels of government.

For the reasons discussed above, I certify that this AD:

- (1) Is not a "significant regulatory action" under Executive Order 12866,
- (2) Is not a "significant rule" under DOT Regulatory Policies and Procedures (44 FR 11034, February 26, 1979),
- (3) Will not affect intrastate aviation in Alaska, and
- (4) Will not have a significant economic impact, positive or negative, on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

#### List of Subjects in 14 CFR Part 39

Air transportation, Aircraft, Aviation safety, Incorporation by reference, Safety.

#### Adoption of the Amendment

Accordingly, under the authority delegated to me by the Administrator, the FAA amends 14 CFR part 39 as follows:

#### PART 39—AIRWORTHINESS DIRECTIVES

- 1. The authority citation for part 39 continues to read as follows:

**Authority:** 49 U.S.C. 106(g), 40113, 44701.

##### § 39.13 [Amended]

- 2. The FAA amends § 39.13 by removing Airworthiness Directive (AD) 2011-22-05, Amendment 39-16847 (76

FR 70046, November 10, 2011), and adding the following new AD:

**2011-22-05 R1 Airbus Helicopters (Type Certificate Previously Held by Eurocopter France) (Airbus Helicopters):** Amendment 39-17765; Docket No. FAA-2011-1158; Directorate Identifier 2010-SW-018-AD.

#### (a) Applicability

This AD applies to Airbus Model AS350B, B1, B2, B3, BA, C, D, D1; and Model AS355E, F, F1, F2, N, and NP helicopters; with tail rotor (T/R) pitch control rod (control rod), part number (P/N) 350A33-2100-00, -01, -02, -03, -04; P/N 350A33-2121-00, -01, -02; P/N 350A33-2143-00; or P/N 350A33-2145-00 or -01, installed; certificated in any category.

#### (b) Unsafe Condition

This AD defines the unsafe condition as excessive play in the control rod. This condition could result in failure of a T/R control rod, loss of T/R control, and subsequent loss of control of the helicopter.

#### (c) Affected ADs

This AD revises AD 2011-22-05, Amendment 39-16847 (76 FR 70046, November 10, 2011).

#### (d) Effective Date

This AD becomes effective April 17, 2014.

#### (e) Compliance

You are responsible for performing each action required by this AD within the specified compliance time unless it has already been accomplished prior to that time.

#### (f) Required Actions

(1) Within 30 hours time-in-service (TIS) and, if no bearing play is detected, thereafter at intervals not to exceed 30 hours TIS, place the T/R pedals in the neutral position. If the helicopter is fitted with a T/R load compensator, discharge the accumulator as described in the rotorcraft flight manual. Check the control rod bearing (bearing) for play on the helicopter, by observation and feel, by slightly moving the T/R blade in the flapping axis while monitoring the bearing for movement. See the following Figure 1 to Paragraph (f) of this AD. The actions required by this paragraph may be performed by the owner/operator (pilot) holding at least a private pilot certificate, and must be entered into the helicopter maintenance records showing compliance with this AD in accordance with 14 CFR 43.9(a)(1)-(4) and 14 CFR 91.417(a)(2)(v). The record must be maintained as required by 14 CFR 91.417, 121.380, or 135.439.

**BILLING CODE 4910-13-P**

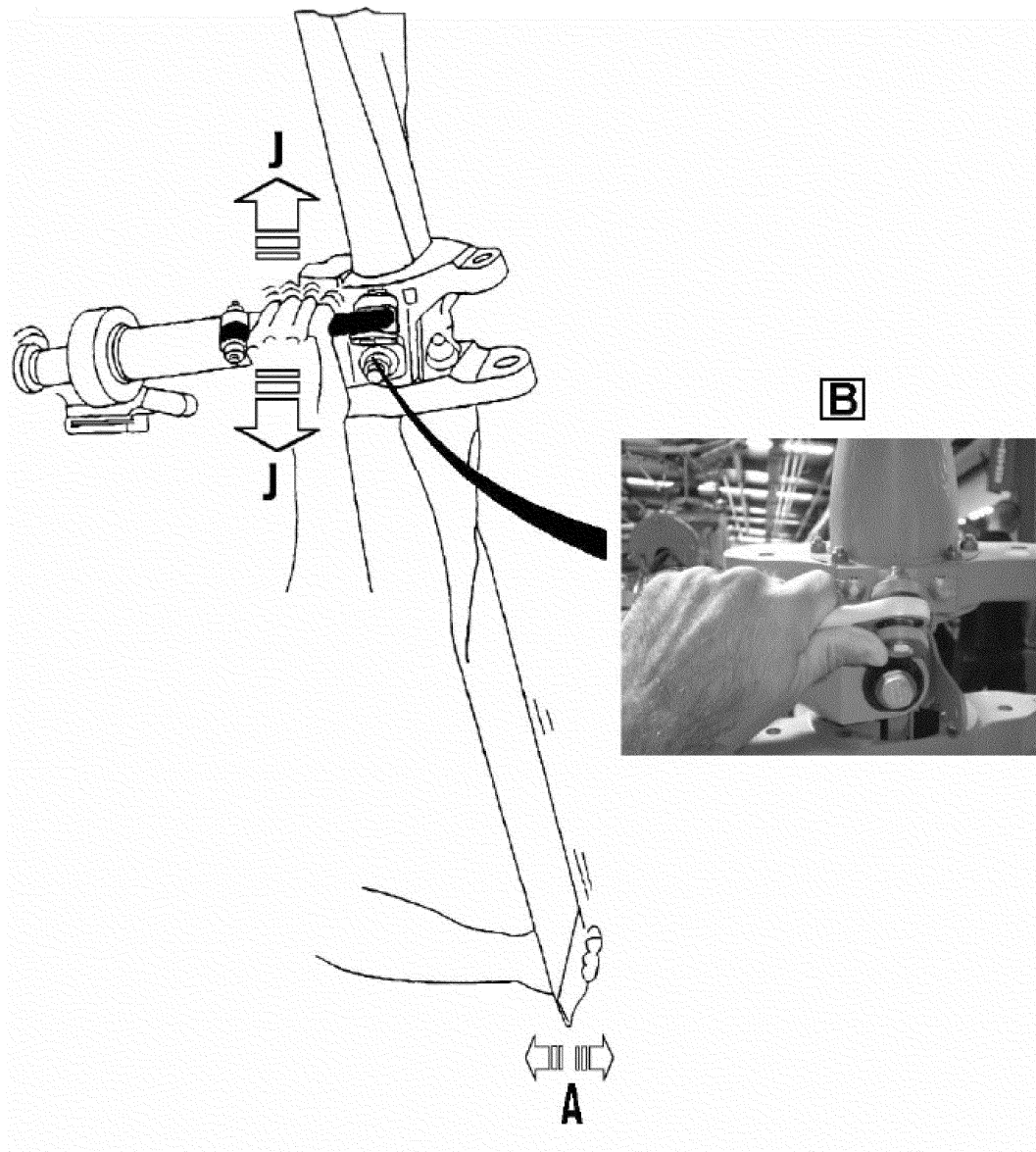


Figure 1 to Paragraph (f)  
Manual Check for Play of the Tail Rotor Pitch Control Rod

(2) If a pilot or mechanic detects play in the bearing, before the next flight, a mechanic must remove the control rod from the

helicopter, and using a dial indicator, measure the bearing wear according to the

following and as shown in Figures 2 and 3 to Paragraph (f) of this AD:

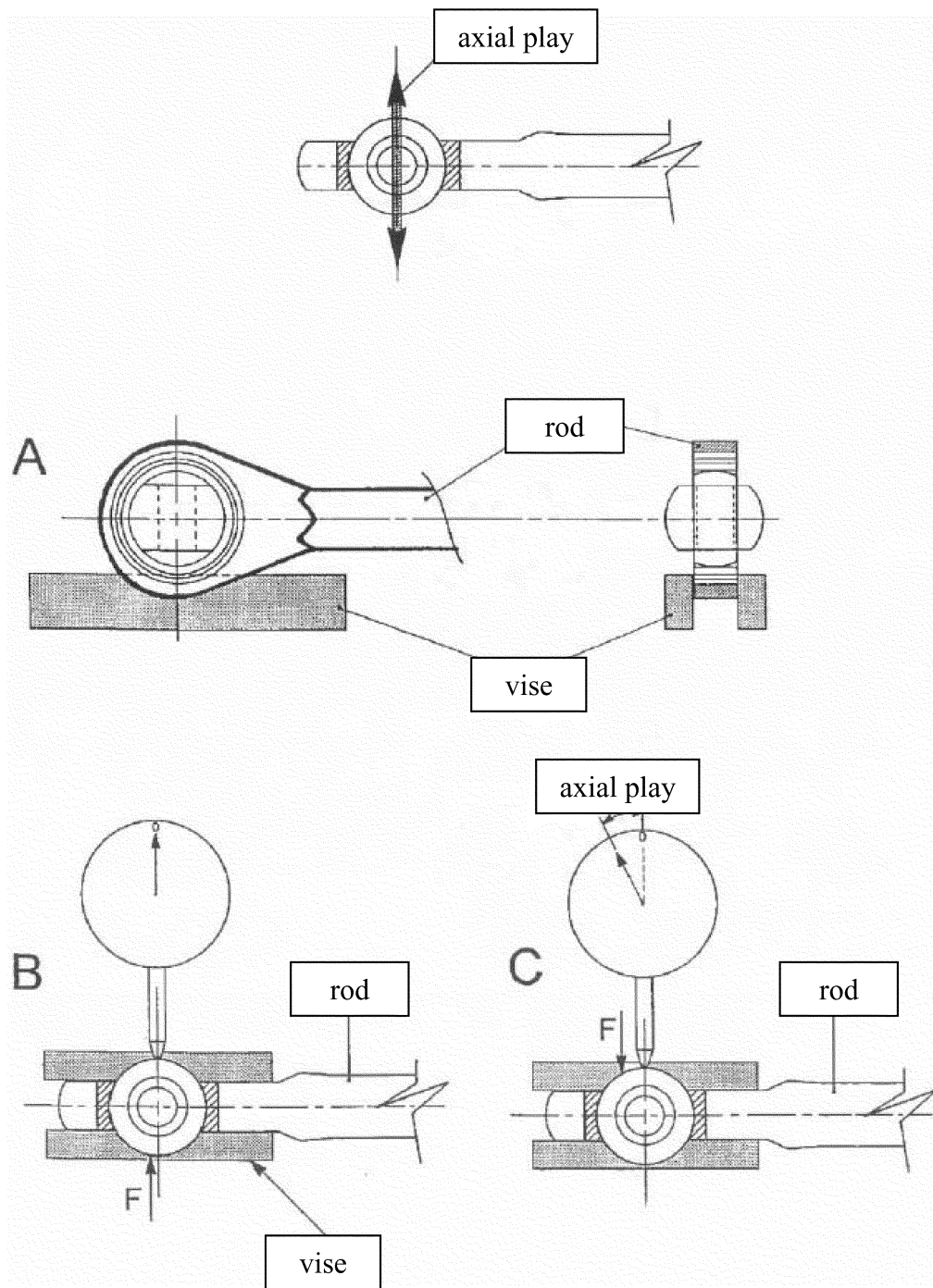


Figure 2 to Paragraph (f)  
Measurement of the Axial Play (A) of the Bearing

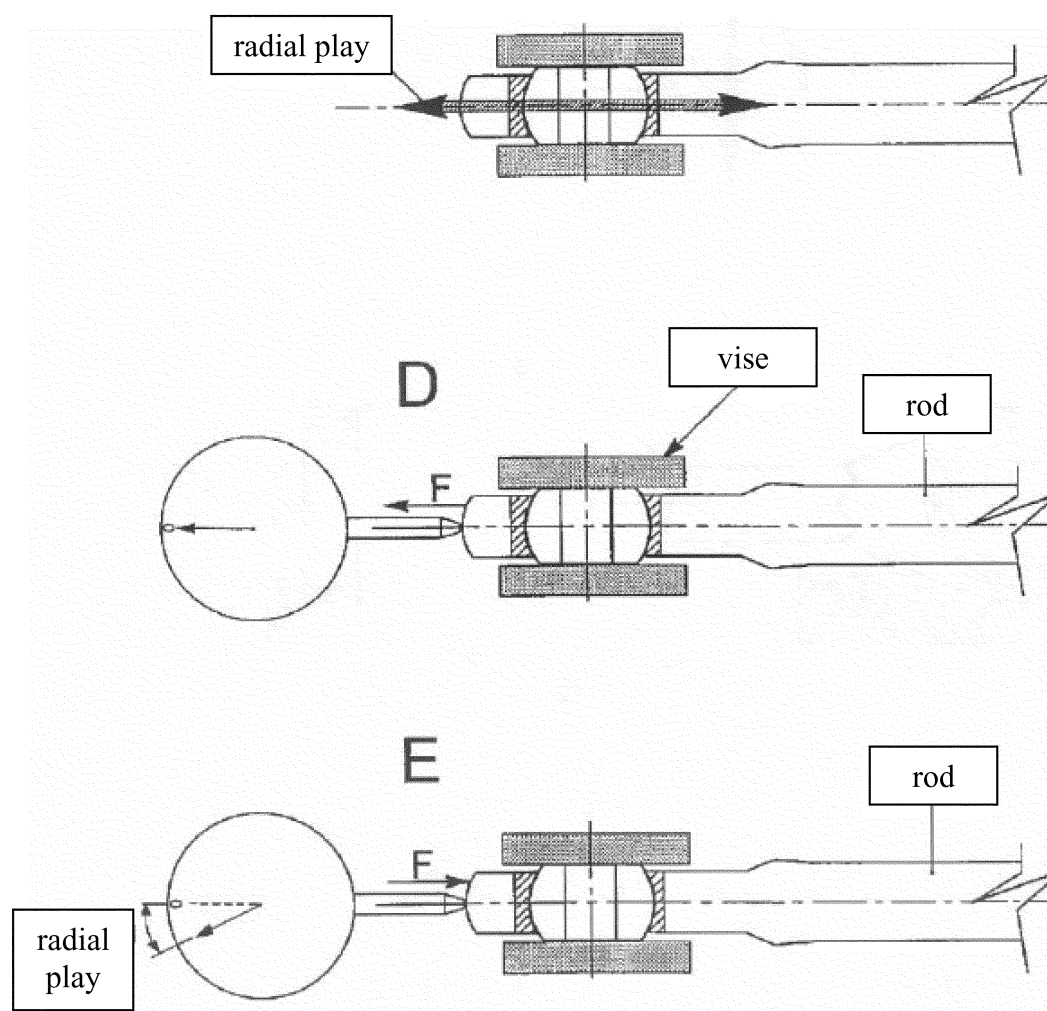


Figure (3) to Paragraph (f)  
Measurement of the Radial Play (R) of the Bearing

**BILLING CODE 4910-13-C**

(i) Remove the control rod from the helicopter.

(ii) Mount the control rod in a vise as shown in Figure 2 to Paragraph (f) of this AD.

(iii) Using a dial indicator, take axial play readings by moving the spherical bearing in the direction F (up and down) as shown in Figure 2 to Paragraph (f) of this AD.

(iv) Install a bolt through the bearing and secure it with a washer and nut to provide a clamping surface when the bearing is clamped in a vise.

(v) Mount the control rod and bearing in a vise as shown in Figure 3 to Paragraph (f) of this AD.

(vi) Using a dial indicator, take radial play measurements by moving the control rod in the direction F as shown in Figure 3 to Paragraph (f) of this AD.

(vii) Record the hours of operation on each control rod.

(viii) If the radial play exceeds 0.008 inch or axial play exceeds 0.016 inch, replace the control rod with an airworthy control rod before further flight.

(ix) If the radial and axial play are within limits, reinstall the control rod.

(x) Thereafter, at intervals not to exceed 30 hours TIS, remove the control rod and measure the bearing play with a dial indicator in accordance with paragraph (f)(2) of this AD.

**(g) Alternative Methods of Compliance (AMOCs)**

(1) The Manager, Safety Management Group, FAA, may approve AMOCs for this AD. Send your proposal to: Robert Grant, Aviation Safety Engineer, Safety Management Group, FAA, 2601 Meacham Blvd., Fort Worth, Texas 76137; telephone (817) 222-5110; email [robert.grant@faa.gov](mailto:robert.grant@faa.gov).

(2) For operations conducted under a 14 CFR part 119 operating certificate or under 14 CFR part 91, subpart K, we suggest that you notify your principal inspector, or lacking a principal inspector, the manager of the local flight standards district office or certificate holding district office, before operating any aircraft complying with this AD through an AMOC.

**(h) Additional Information**

(1) Eurocopter Alert Service Bulletin (ASB) No. 05.00.60 and ASB No. 05.00.56, both Revision 0, and both dated December 9, 2009, which are not incorporated by reference, contain additional information about the subject of this AD. For service information identified in this AD, contact Airbus Helicopters, Inc., 2701 N. Forum Drive, Grand Prairie, TX 75052; telephone (972) 641-0000 or (800) 232-0323; fax (972) 641-3775; or at <http://www.airbushelicopters.com/techpub>. You may review a copy of the service information at the FAA, Office of the Regional Counsel, Southwest Region, 2601 Meacham Blvd., Room 663, Fort Worth, Texas 76137.

(2) The subject of this AD is addressed in the European Aviation Safety Agency (EASA) AD No. 2010-0006, dated January 7, 2010. You may view the EASA AD on the Internet at <http://www.regulations.gov> in Docket No. FAA-2011-1158.

**(i) Subject**

Joint Aircraft Service Component (JASC)  
Code: 6720, Tail rotor control system.

Issued in Fort Worth, Texas, on January 31, 2014.

**Lance T. Gant,**

*Acting Directorate Manager, Rotorcraft  
Directorate, Aircraft Certification Service.*

[FR Doc. 2014-04282 Filed 3-12-14; 8:45 am]

**BILLING CODE 4910-13-P**

## COMMODITY FUTURES TRADING COMMISSION

### 17 CFR Part 30

RIN 3038-AD88

#### Enhancing Protections Afforded Customers and Customer Funds Held by Futures Commission Merchants and Derivatives Clearing Organizations; Correction

**AGENCY:** Commodity Futures Trading  
Commission.

**ACTION:** Correcting amendments.

**SUMMARY:** The Commodity Futures  
Trading Commission (CFTC) is  
correcting final rules published in the  
**Federal Register** of November 14, 2013  
(78 FR 68506). Those rules, 17 CFR  
Parts 1, 3, 22, 30, and 140, took effect  
on January 13, 2014. This correction  
amends Appendix E to Part 30  
correcting a typographical error  
contained in that appendix.

**DATES:** Effective on March 13, 2014.

**FOR FURTHER INFORMATION CONTACT:**  
Thomas Smith, Deputy Director, 202-  
418-5495, [tsmith@cftc.gov](mailto:tsmith@cftc.gov), or Mark  
Bretschler, Attorney-Advisor, 312-596-  
0529, [mbretschler@cftc.gov](mailto:mbretschler@cftc.gov), Division of  
Swap Dealer and Intermediary  
Oversight, Commodity Futures Trading  
Commission, Three Lafayette Centre,  
1155 21st Street NW., Washington, DC  
20581.

**SUPPLEMENTARY INFORMATION:** In the  
**Federal Register** of November 14, 2013  
(78 FR 68506), the CFTC published final  
rules adopting new regulations and  
amending existing regulations to require  
enhanced customer protections, risk  
management programs, internal  
monitoring and controls, capital and  
liquidity standards, customer  
disclosures, and auditing and  
examination programs for futures  
commission merchants. Those rules  
include Appendix E to Part 30—  
Acknowledgment Letter for CFTC  
Regulation 30.7 Customer Secured  
Account (“acknowledgment letter”).  
The third sentence of the second full  
paragraph of the body of the Appendix  
E acknowledgment letter contains a

typographical error. Specifically, the  
phrase “lines or credit” should read  
“lines of credit”. The Commission is  
making a correcting amendment to  
Appendix E to Part 30 to correct that  
error.

### List of Subjects in 17 CFR Part 30

Commodity futures, Consumer  
protection, Currency, Reporting and  
recordkeeping requirements.

Accordingly, 17 CFR part 30 is  
corrected by making the following  
correcting amendment:

### PART 30—FOREIGN FUTURES AND FOREIGN OPTIONS TRANSACTIONS

■ 1. The authority citation for part 30  
continues to read as follows:

**Authority:** 7 U.S.C. 1a, 2, 6, 6c, and 12a,  
unless otherwise noted.

■ 2. Revise Appendix E to part 30 to  
read as follows:

#### Appendix E to Part 30— Acknowledgment Letter for CFTC Regulation 30.7 Customer Secured Account

[Date]

[Name and Address of Depository]

We refer to the Secured Amount  
Account(s) which [Name of Futures  
Commission Merchant] (“we” or “our”) have  
opened or will open with [Name of  
Depository] (“you” or “your”) entitled:

[Name of Futures Commission Merchant]  
[if applicable, add “FCM Customer Omnibus  
Account”] CFTC Regulation 30.7 Customer  
Secured Account under Section 4(b) of the  
Commodity Exchange Act [and, if applicable,  
“, Abbreviated as [short title reflected in the  
depository’s electronic system]”]

Account Number(s): [ ]  
(collectively, the “Account(s)”).

You acknowledge that we have opened or  
will open the above-referenced Account(s)  
for the purpose of depositing, as applicable,  
money, securities and other property  
(collectively “Funds”) of customers who  
trade foreign futures and/or foreign options  
(as such terms are defined in U.S.  
Commodity Futures Trading Commission  
 (“CFTC”) Regulation 30.1, as amended); that  
the Funds held by you, hereafter deposited  
in the Account(s) or accruing to the credit of  
the Account(s), will be kept separate and  
apart and separately accounted for on your  
books from our own funds and from any  
other funds or accounts held by us, in  
accordance with the provisions of the  
Commodity Exchange Act, as amended (the  
“Act”), and Part 30 of the CFTC’s regulations,  
as amended; that the Funds may not be  
commingled with our own funds in any  
proprietary account we maintain with you;  
and that the Funds must otherwise be treated  
in accordance with the provisions of Section  
4(b) of the Act and CFTC Regulation 30.7.

Furthermore, you acknowledge and agree  
that such Funds may not be used by you or  
by us to secure or guarantee any obligations

that we might owe to you, and they may not  
be used by us to secure or obtain credit from  
you. You further acknowledge and agree that  
the Funds in the Account(s) shall not be  
subject to any right of offset or lien for or on  
account of any indebtedness, obligations or  
liabilities we may now or in the future have  
owing to you. This prohibition does not  
affect your right to recover funds advanced  
in the form of cash transfers, lines of credit,  
repurchase agreements or other similar  
liquidity arrangements you make in lieu of  
liquidating non-cash assets held in the  
Account(s) or in lieu of converting cash held  
in the Account(s) to cash in a different  
currency.

In addition, you agree that the Account(s)  
may be examined at any reasonable time by  
the director of the Division of Swap Dealer  
and Intermediary Oversight of the CFTC or  
the director of the Division of Clearing and  
Risk of the CFTC, or any successor divisions,  
or such directors’ designees, or an  
appropriate officer, agent or employee of our  
designated self-regulatory organization  
 (“DSRO”), [Name of DSRO], and this letter  
constitutes the authorization and direction of  
the undersigned on our behalf to permit any  
such examination to take place without  
further notice or consent from us.

You agree to reply promptly and directly  
to any request for confirmation of account  
balances or provision of any other  
information regarding or related to the  
Account(s) from the director of the Division  
of Swap Dealer and Intermediary Oversight  
of the CFTC or the director of the Division  
of Clearing and Risk of the CFTC, or any  
successor divisions, or such directors’  
designees, or an appropriate officer, agent, or  
employee of [Name of DSRO], acting in its  
capacity as our DSRO, and this letter  
constitutes the authorization and direction of  
the undersigned on our behalf to release the  
requested information without further notice  
to or consent from us.

You further acknowledge and agree that,  
pursuant to authorization granted by us to  
you previously or herein, you have provided,  
or will promptly provide following the  
opening of the Account(s), the director of the  
Division of Swap Dealer and Intermediary  
Oversight of the CFTC, or any successor  
division, or such director’s designees, with  
technological connectivity, which may  
include provision of hardware, software, and  
related technology and protocol support, to  
facilitate direct, read-only electronic access  
to transaction and account balance  
information for the Account(s). This letter  
constitutes the authorization and direction of  
the undersigned on our behalf for you to  
establish this connectivity and access if not  
previously established, without further  
notice to or consent from us.

The parties agree that all actions on your  
part to respond to the above information and  
access requests will be made in accordance  
with, and subject to, such usual and  
customary authorization verification and  
authentication policies and procedures as  
may be employed by you to verify the  
authority of, and authenticate the identity of,  
the individual making any such information  
or access request, in order to provide for the  
secure transmission and delivery of the