

permits the use of a third-party service provider for records maintenance.

- Twelve CFR 12.4 requires a national bank effecting a securities transaction for a customer to give or send to the customer either a written notification of the transaction at or before completion of the transaction, or a copy of a registered broker-dealer's confirmation relating to the transaction within one business day from the bank's receipt of such confirmation. Section 12.4 also establishes disclosures that must be included in the bank's written notification or the broker-dealer confirmation. Section 12.4(b) provides that national banks may direct a broker-dealer to send confirmations to customers directly without requiring a duplicate to be sent by the bank.

- Twelve CFR 151.70 requires a Federal savings association effecting a securities transaction for a customer to give or send the customer either the registered broker-dealer confirmation described at 12 CFR 151.80, or the written notice described at 12 CFR 151.90. If the Federal savings association is complying with § 151.70 by using a broker-dealer confirmation, § 151.80 establishes when and how the Federal savings association must provide the broker-dealer confirmation, and establishes disclosures that must be included in that confirmation. Section 151.80(a) also provides that a Federal savings association may have a broker-dealer send confirmations to customers directly without requiring a duplicate to be sent by the Federal savings association. If the Federal savings association is complying with § 151.70 by using a written notice, § 151.90 establishes when and how the Federal savings association must provide the written notice, and establishes disclosures that must be included in that notice.

- Twelve CFR 12.5(a), (b), (c), and (e) describe notification procedures that a national bank may elect to use, as an alternative to complying with § 12.4, to notify customers of certain transactions in which the bank does not exercise investment discretion; trust transactions; agency transactions; and periodic plan transactions.

- Twelve CFR 151.100 describes the notification procedures a Federal savings association may elect to use, as an alternate means of satisfying § 151.70, if the Federal savings association effects a securities transaction for or with the account of a customer under a periodic plan, sweep account, or investment company plan; for or with the account of a customer in shares of certain open-ended management companies; for certain

accounts for which the Federal savings association does not exercise investment discretion; for certain accounts for which the Federal savings association exercises investment discretion other than in an agency capacity; for an account in which the Federal savings association exercises investment discretion in an agency capacity; and for a common or collective investment fund.

- Twelve CFR 12.7(a)(1) through (a)(3) require national banks effecting securities transactions for customers to maintain and adhere to policies and procedures that assign responsibility for supervision of employees who perform securities trading functions, provide for the fair and equitable allocation of securities and prices to accounts for certain similarly-situated orders, and provide for crossing of buy and sell orders on a fair and equitable basis.

- Twelve CFR 151.140 requires Federal savings associations effecting securities transactions for customers to maintain and follow policies and procedures that assign responsibility for the supervision of employees who perform securities trading functions, provide for the fair and equitable allocation of securities and prices to accounts for certain similarly-situated orders, and provide for crossing of buy and sell orders on a fair and equitable basis.

- Twelve CFR 12.7(a)(4) requires certain national bank officers and employees involved in the securities trading process to report to the bank all personal transactions in securities made by them or on their behalf in which they have a beneficial interest.

- Twelve CFR 151.150 requires certain Federal savings association officers and employees involved in the securities trading process to report to the Federal savings association all personal transactions in securities made by them or on their behalf in which they have a beneficial interest.

- Twelve CFR 12.8 requires a national bank seeking a waiver of one or more of the requirements of §§ 12.2 through 12.7 to file a written request for waiver with the OCC.

*Type of Review:* Regular.

*Affected Public:* Businesses or other for-profit.

*Estimated Number of Respondents:* 307.

*Estimated Frequency of Response:* On occasion.

*Estimated Total Annual Burden:* 1501.5 Hours.

On October 7th, 2022, the OCC published a notice for 60 days of comment concerning this collection, 87

FR 61144. No comments were received. Comments continue to be solicited on:

(a) Whether the collection of information is necessary for the proper performance of the functions of the OCC, including whether the information has practical utility;

(b) The accuracy of the OCC's estimate of the burden of the collection of information;

(c) Ways to enhance the quality, utility, and clarity of the information to be collected;

(d) Ways to minimize the burden of the collection on respondents, including through the use of automated collection techniques or other forms of information technology; and

(e) Estimates of capital or start-up costs and costs of operation, maintenance, and purchase of services to provide information.

**Theodore J. Dowd,**

*Deputy Chief Counsel, Office of the Comptroller of the Currency.*

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**BILLING CODE 4810-33-P**

## DEPARTMENT OF THE TREASURY

### Office of Foreign Assets Control

#### Notice of OFAC Sanctions Actions

**AGENCY:** Office of Foreign Assets Control, Treasury.

**ACTION:** Notice.

**SUMMARY:** The U.S. Department of the Treasury's Office of Foreign Assets Control (OFAC) is publishing the names of one or more persons that have been placed on OFAC's Specially Designated Nationals and Blocked Persons List (SDN List) based on OFAC's determination that one or more applicable legal criteria were satisfied. All property and interests in property subject to U.S. jurisdiction of these persons are blocked, and U.S. persons are generally prohibited from engaging in transactions with them.

**DATES:** See **SUPPLEMENTARY INFORMATION** section for applicable date(s).

**FOR FURTHER INFORMATION CONTACT:** OFAC: Andrea Gacki, Director, tel.: 202-622-2490; Associate Director for Global Targeting, tel.: 202-622-2420; Assistant Director for Licensing, tel.: 202-622-2480; Assistant Director for Regulatory Affairs, tel.: 202-622-4855; or Assistant Director for Sanctions Compliance & Evaluation, tel.: 202-622-2490.

**SUPPLEMENTARY INFORMATION:**

**Electronic Availability**

The SDN List and additional information concerning OFAC sanctions programs are available on OFAC's website (<https://www.treasury.gov/ofac>).

**Notice of OFAC Actions**

On January 31, 2023, OFAC determined that the property and interests in property subject to U.S. jurisdiction of the following persons are blocked under the relevant sanctions authority listed below.

**Individuals**

1. AUNG, Htun (a.k.a. AUNG, Tun), Burma; DOB 1967; nationality Burma; Gender Male; Commander-in-Chief (Air) (individual) [BURMA–EO14014].

Designated pursuant to section 1(a)(iii)(A) of Executive Order 14014 of February 10, 2021, "Blocking Property With Respect to the Situation in Burma" ("E.O. 14014") for being or having been a leader or official of the military or security forces of Burma, or any successor entity to any of the foregoing.

2. SWE, Hla, Burma; DOB 28 Sep 1960; POB Chauk, Magway, Burma; nationality Burma; citizen Burma; Gender Male; National ID No. 8/GaGaNa N 085996 (Burma) (individual) [BURMA–EO14014].

Designated pursuant to section 1(a)(ii)(B) of E.O. 14014 for being responsible for or complicit in, or having directly or indirectly engaged in or attempted to engage in, actions or policies that threaten the peace, security, or stability of Burma.

3. TAY ZA, Htoo Htwe (a.k.a. TAY ZA, Rachel; a.k.a. TAYZA, Htoo Htwe; a.k.a. TAYZA, Rachel), Burma; DOB 14 Sep 1996; nationality Burma; Gender Female (individual) [BURMA–EO14014] (Linked To: ZA, Tay).

Designated pursuant to section 1(a)(v) of E.O. 14014 for being a spouse or adult child of TAY ZA, a person whose property and interests in property are blocked pursuant to this Order.

4. MIN, Aung, Burma; DOB 27 Oct 1962; POB Burma; nationality Burma; Gender Male (individual) [BURMA–EO14014].

Designated pursuant to section 1(a)(iii)(B) of E.O. 14014 for being or having been a leader or official of the Government of Burma on or after February 2, 2021.

5. OO, Myo Myint, Burma; DOB 23 Jun 1960; POB Yangon, Burma; nationality Burma; Gender Male; Passport DM002422 (Burma) issued 19 Mar 2014 expires 18 Mar 2024; National ID No. 12DAGATA024453 (Burma); Union Minister of Energy (individual) [BURMA–EO14014].

Designated pursuant to section 1(a)(iii)(B) of E.O. 14014 for being or having been a leader or official of the Government of Burma on or after February 2, 2021.

6. MIN, Than, Burma; DOB 23 Nov 1956; POB Myinmu, Burma; Gender Male; National ID No. 12 LAMANA 062661 (Burma) (individual) [BURMA–EO14014].

Designated pursuant to section 1(a)(iii)(B) of E.O. 14014 for being or having been a leader or official of the Government of Burma on or after February 2, 2021.

**Entities**

1. MINING ENTERPRISE NO 1 (a.k.a. MINING ENTERPRISE NUMBER ONE; a.k.a. MYANMA MINING ENTERPRISE NUMBER 1; a.k.a. MYANMAR MINING ENTERPRISE NUMBER 1; a.k.a. NO. 1 MINING ENTERPRISE), Bu Tar Street, Forest Street, Monywa, Sagaing Region, Burma; Organization Type: Mining and Quarrying [BURMA–EO14014].

Designated pursuant to section 1(a)(iv) of E.O. 14014 for being a political subdivision, agency, or instrumentality of the Government of Burma.

2. MINING ENTERPRISE NO 2 (a.k.a. MYANMA MINING ENTERPRISE NUMBER 2; a.k.a. MYANMAR MINING ENTERPRISE NUMBER 2; a.k.a. NO. 2 MINING ENTERPRISE; a.k.a. NUMBER 2 MINING ENTERPRISE), Myay Myint Quarter, Pyi Htaung Su Road, Myitkyina, Kachin State, Burma; Organization Type: Mining and Quarrying [BURMA–EO14014].

Designated pursuant to section 1(a)(iv) of E.O. 14014 for being a political subdivision, agency, or instrumentality of the Government of Burma.

3. UNION ELECTION COMMISSION, Office No. 22, Zeyahtani 2 Street, Nay Pyi Taw, Burma; Organization Type: General public administration activities [BURMA–EO14014].

Designated pursuant to section 1(a)(iv) of E.O. 14014 for being a political subdivision, agency, or instrumentality of the Government of Burma.

Authority: E.O. 14014, 86 FR 9429.

Dated: January 31, 2023.

**Andrea M. Gacki,**

*Director, Office of Foreign Assets Control,  
U.S. Department of the Treasury.*

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**DEPARTMENT OF THE TREASURY****Office of Foreign Assets Control****Notice of OFAC Sanctions Actions**

**AGENCY:** Office of Foreign Assets Control, Treasury.

**ACTION:** Notice.

**SUMMARY:** The U.S. Department of the Treasury's Office of Foreign Assets Control (OFAC) is publishing the names of one or more persons that have been placed on OFAC's Specially Designated Nationals and Blocked Persons List based on OFAC's determination that one or more applicable legal criteria were satisfied. All property and interests in property subject to U.S. jurisdiction of these persons are blocked, and U.S. persons are generally prohibited from engaging in transactions with them.

**DATES:** See **SUPPLEMENTARY INFORMATION** section for effective date(s).

**FOR FURTHER INFORMATION CONTACT:** OFAC: Andrea Gacki, Director, tel.: 202–622–2490; Associate Director for Global Targeting, tel.: 202–622–2420; Assistant Director for Licensing, tel.: 202–622–2480; Assistant Director for Regulatory Affairs, tel.: 202–622–4855; or the Assistant Director for Sanctions Compliance & Evaluation, tel.: 202–622–2490.

**SUPPLEMENTARY INFORMATION:****Electronic Availability**

The Specially Designated Nationals and Blocked Persons List and additional information concerning OFAC sanctions programs are available on OFAC's website ([www.treasury.gov/ofac](http://www.treasury.gov/ofac)).

**Notice of OFAC Actions**

On January 30, 2023, OFAC determined that the property and interests in property subject to U.S. jurisdiction of the following persons are blocked under the relevant sanctions authority listed below.

**Individuals**

1. RIVERA ZAZUETA, Jose Angel (a.k.a. RIVERA SALAS, Miguel Angel), Mexico; DOB 15 Aug 1987; POB Sinaloa, Mexico; nationality Mexico; citizen Mexico; Gender Male; C.U.R.P. RIZA870815HSLVZN00 (Mexico) (individual) [ILLICIT-DRUGS–EO14059].

Designated pursuant to section 1(a)(i) of Executive Order 14059 of December 15, 2021, "Imposing Sanctions on Foreign Persons Involved in the Global Illicit Drug Trade," 86 FR 71549 (December 17, 2021) (E.O. 14059) for having engaged in, or attempted to engage in, activities or transactions that have materially contributed to, or pose a significant risk of materially contributing to, the international proliferation of illicit drugs or their means of production.