

## SECURITIES AND EXCHANGE COMMISSION

[SEC File No. 270–428, OMB Control No. 3235–0478]

### Proposed Collection; Comment Request

*Upon Written Request, Copies Available From:* Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549–2736

#### Extension:

Rule 11a1–1(T)

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (“PRA”) (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (“Commission”) is soliciting comments on the existing collection of information provided for in Rule 11a1–1(T) (17 CFR 240.11a1–1(T)), under the Securities Exchange Act of 1934 (15 U.S.C. 78a *et seq.*) (“Exchange Act”). The Commission plans to submit this existing collection of information to the Office of Management and Budget (“OMB”) for extension and approval.

On January 27, 1976, the Commission adopted Rule 11a1–1(T)—Transactions Yielding Priority, Parity, and Precedence (17 CFR 240.11a1–1(T)) under the Exchange Act (15 U.S.C. 78a *et seq.*) to exempt certain transactions of exchange members for their own accounts that would otherwise be prohibited under Section 11(a) of the Exchange Act. The Rule provides that a member’s proprietary order may be executed on the exchange of which the trader is a member, if, among other things: (1) The member discloses that a bid or offer for its account is for its account to any member with whom such bid or offer is placed or to whom it is communicated; (2) any such member through whom that bid or offer is communicated discloses to others participating in effecting the order that it is for the account of a member; and (3) immediately before executing the order, a member (other than a specialist in such security) presenting any order for the account of a member on the exchange clearly announces or otherwise indicates to the specialist and to other members then present that he is presenting an order for the account of a member.

Without these requirements, it would not be possible for the Commission to monitor its mandate under the Exchange Act to promote fair and orderly markets and ensure that exchange members have, as the principle purpose of their exchange memberships, the conduct of a public securities business.

There are approximately 538 respondents that require an aggregate total of approximately 15 hours per year to comply with this Rule. Each of these approximately 538 respondents makes an estimated 20 annual responses, for an aggregate of 10,760 responses per year. Each response takes approximately 5 seconds to complete. Thus, the total compliance burden per year is approximately 15 hours (10,760 × 5 seconds/60 seconds per minute/60 minutes per hour = 15 hours). The approximate internal cost of compliance per hour is approximately \$355, resulting in a total internal cost of compliance of approximately \$5,325 per year (15 hours @ \$355).

*Written comments are invited on:* (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the Commission, including whether the information will have practical utility; (b) the accuracy of the Commission’s estimates of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted in writing within 60 days of this publication.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information under the PRA unless it displays a currently valid OMB control number.

Please direct your written comments to: David Bottom, Director/Chief Information Officer, Securities and Exchange Commission, c/o Cynthia Roscoe, 100 F Street NE, Washington, DC 20549, or send an email to: [PRA\\_Mailbox@sec.gov](mailto:PRA_Mailbox@sec.gov).

Dated: March 22, 2021.

**J. Matthew DeLesDernier,**  
*Assistant Secretary.*

[FR Doc. 2021–06241 Filed 3–25–21; 8:45 am]

**BILLING CODE 8011–01–P**

## SMALL BUSINESS ADMINISTRATION

### Applications for New Awards; Shuttered Venue Operators Grants (SVOG)

**AGENCY:** U.S. Small Business Administration.

**ACTION:** Notice of funding opportunity.

**SUMMARY:** The U.S. Small Business Administration (SBA) issues a notice

inviting applications for new awards for fiscal year (FY) 2021 for SVOG, Catalog of Federal Domestic Assistance (CFDA) number 59.075. This notice relates to the approved information collection under OMB control number 4040–0004.

#### DATES:

*Applications Available:* April 8, 2021.

*Deadline for Transmittal of*

*Applications:* The SBA will receive and process applications on a rolling basis, and submission will remain available until funds become exhausted.

*Pre-application webinar information:* The SBA held a pre-application meeting, via webinar, for prospective applicants on January 14, 2021, Eastern time. The webinar is available for viewing at <https://www.youtube.com/watch?v=PdfQGb6z-gg>.

The SBA will hold a second webinar on March 30, 2021 and will make information available on the webinar at [www.sba.gov/svogrant](http://www.sba.gov/svogrant).

**ADDRESSES:** The SBA will only accept applications submitted electronically through the SBA’s website via the following link: [www.sba.gov/svogrant](http://www.sba.gov/svogrant).

#### FOR FURTHER INFORMATION CONTACT:

Barbara E. Carson, U.S. Small Business Administration, 409 Third Street SW, Washington, DC 20416. Telephone: (800) 659–2955. Email: [SVOGrant@sba.gov](mailto:SVOGrant@sba.gov).

If you use a telecommunications device for the deaf (TDD) or a text telephone (TTY), call the Federal Relay Service (FRS), toll free, at 1–800–877–8339.

#### SUPPLEMENTARY INFORMATION:

#### Full Text of Announcement

#### I. Funding Opportunity Description

*Purpose of Program*<sup>1</sup>: The Economic Aid to Hard-Hit Small Businesses, Nonprofits and Venues Act signed into law on December 27, 2020 included \$15 billion in grants to operators of shuttered venues, which the SBA’s Office of Disaster Assistance will administer. On March 11, 2021, the American Rescue Plan Act of 2021 (Pub. L. 117–2, title V, sec. 5005) was enacted; it provides an additional \$1,249,500,000 in grants for these entities. Of this total grant funding, at least \$2 billion is reserved for applicants with up to 50 *full-time employees*. Grants of up to \$10 million will be disbursed to eligible entities in accordance with requirements set forth in 2 CFR part 200, as applicable. This guidance explains the rules associated with the use of federal grant funds.

<sup>1</sup> The terms in the text of this notice that are in italics are defined in the Definitions section.