

electronically to piv_comments@nist.gov with "Comment on FIPS 201-3" in the subject line or may be submitted via <https://www.regulations.gov/>. Comments may also be submitted on the project repository at <https://github.com/usnistgov/FIPS201>. Written comments may be submitted by mail to Information Technology Laboratory, ATTN: FIPS 201-3 Comments, National Institute of Standards and Technology, 100 Bureau Drive, Mail Stop 8930, Gaithersburg, MD 20899-8930.

All submissions, including attachments and other supporting materials, will become part of the public record and subject to public disclosure. NIST reserves the right to publish relevant comments, unedited and in their entirety. Relevant comments received by the deadline will be published electronically at <https://csrc.nist.gov/>, <https://www.regulations.gov/> and the project repository at <https://github.com/usnistgov/FIPS201> without change or redaction, so commenters should not include information they do not wish to be posted. Personal information, such as account numbers or Social Security numbers, or names of other individuals, should not be included. Do not submit confidential business information or otherwise sensitive or protected information. Comments that contain profanity, vulgarity, threats, or other inappropriate language or content will not be posted or considered.

FOR FURTHER INFORMATION CONTACT: Hildegard Ferraiolo, National Institute of Standards and Technology, 100 Bureau Drive, Mail Stop Number 8930, Gaithersburg, MD 20899-8930, email: hfferrai@nist.gov, phone: (301) 975-6972.

SUPPLEMENTARY INFORMATION: FIPS 201 defines common credentials and authentication mechanisms offering varying degrees of security for both logical and physical access applications. Federal departments and agencies will determine the level of security and authentication mechanisms appropriate for their respective applications. The scope of this Standard is limited to authentication of an individual's identity. Authorization and access control decisions are outside the scope of this Standard. Moreover, requirements for a temporary credential used until a new or replacement PIV credential arrives are out of scope of this Standard.

In accordance with NIST policy, FIPS 201-2 (the version of the Standard currently in effect) was due for review in 2018. In consideration of changes in

the environment over the last several years and of specific requests for changes from Federal agencies, NIST determined that a revision of FIPS 201-2 is warranted. NIST has received numerous change requests, some of which, after analysis and coordination with the Office of Management and Budget (OMB), the Office of Personnel Management (OPM), and other Federal agencies, are incorporated in the Draft FIPS 201-3. Other change requests incorporated in the Draft FIPS 201-3 result from the 2019 Business Requirements Meeting held at NIST. The meeting focused on business requirements of Federal agencies. The proposed changes in Draft FIPS 201-3 are:

- Alignment with SP 800-63-3 language and terms.
- Updated OMB policy guidelines references from rescinded OMB memorandum M-04-04 to new guidelines in OMB memorandum M-19-17.
- Updated process for binding and termination of derived PIV credentials with PIV account.
- Updated credentialing requirements for issuance of PIV Cards based on OPM guidance.
- Added requirements for supervised remote identity proofing and PIV Card maintenance.
- Modified identity proofing requirements to reflect updated list of accepted documents.
- Updated guidance on validation of identity proofing documents.
- Updated guidance on collection of biometric data for credentialing.
- Clarified multi-session proofing and enrollment.
- Clarified biometric modalities for proofing and authentication.
- Provided clarification on grace periods.
- Deprecated PIV National Agency Check with Written Inquiries (NACI) indicator (background investigation indicator).
- Updated system description and associated diagrams.
- Generalized chain of trust records to enrollment records and made these records required.
- Deprecated the use of magnetic stripes and bar codes on PIV Cards.
- Linked expiration of content signing certificate with card authentication certificate.
- Revised PIN requirements based on SP 800-63B guidelines.
- Removed requirement for support of legacy PKIs.
- Expressed authentication assurance levels in terms of Physical Assurance Level (PAL) and Authenticator Assurance Level (AAL).

- Removed previously deprecated Cardholder Unique Identifier (CHUID) authentication mechanisms. The CHUID data element has not been deprecated and continues to be mandatory.

- Deprecated symmetric card authentication key and associated authentication mechanism (SYM-CAK).

- Added support for secure messaging authentication mechanism (SM-AUTH).

- Deprecated visual authentication mechanism (VIS).

- Added section discussing federation in relationship to PIV credentials.

A public workshop will be held for FIPS 201-3. The specific date will be determined and posted on the NIST Personal Identity Verification (PIV) website: <https://csrc.nist.gov/Projects/PIV>. Before recommending these proposed changes to the Secretary of Commerce for review and approval, NIST invites comments from all interested parties.

Authority: 44 U.S.C. 3553(f)(1), 15 U.S.C. 278g-3.

Kevin Kimball,

Chief of Staff.

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DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration

Ocean Exploration Advisory Board (OEAB) Meeting

AGENCY: Office of Ocean Exploration and Research (OER), National Oceanic and Atmospheric Administration (NOAA), Department of Commerce (DOC).

ACTION: Notice of public meeting.

SUMMARY: This notice sets forth the schedule and proposed agenda for a meeting of the Ocean Exploration Advisory Board (OEAB). OEAB members will discuss and provide advice on Federal ocean exploration programs, with a particular emphasis on the topics identified in the section on Matters to Be Considered.

DATES: The announced meeting is scheduled for Thursday, December 10, 2020, from 1:00 p.m. to 5:00 p.m. EST.

ADDRESSES: This will be a virtual meeting. Information about how to participate will be posted to the OEAB website at <http://oeab.noaa.gov>.

FOR FURTHER INFORMATION CONTACT: Mr. David McKinnie, Designated Federal Officer, Ocean Exploration Advisory

Board, National Oceanic and Atmospheric Administration, david.mckinnie@noaa.gov or (206) 526-6950.

SUPPLEMENTARY INFORMATION: NOAA established the OEAB under the Federal Advisory Committee Act (FACA) and legislation that gives the agency statutory authority to operate an ocean exploration program and to coordinate a national program of ocean exploration. The OEAB advises NOAA leadership on strategic planning, exploration priorities, competitive ocean exploration grant programs, and other matters as the NOAA Administrator requests.

OEAB members represent government agencies, the private sector, academic institutions, and not-for-profit institutions involved in all facets of ocean exploration—from advanced technology to citizen exploration.

In addition to advising NOAA leadership, NOAA expects the OEAB to help to define and develop a national program of ocean exploration—a network of stakeholders and partnerships advancing national priorities for ocean exploration.

Matters To Be Considered: The OEAB will discuss the following topics: (1) NOAA Office of Ocean Exploration and Research program review; (2) NOAA response to OEAB October 2020 letter; and (3) other matters as described in the agenda. The agenda and other meeting materials will be made available on the OEAB website at <http://oeab.noaa.gov>.

Status: The meeting will be open to the public with a 15-minute public comment period on Thursday, December 10, 2020, from 3:00 p.m. to 3:15 p.m. EST (please check the final agenda on the OEAB website to confirm the time). The public may listen to the meeting and provide comments during the public comment period via teleconference. Participation information will be on the meeting agenda on the OEAB website.

The OEAB expects that public statements at its meetings will not be repetitive of previously submitted verbal or written statements. In general, each individual or group making a verbal presentation will be limited to three minutes. The Designated Federal Officer must receive written comments by December 4, 2020, to provide sufficient time for OEAB review. Written comments received after December 4, 2020, will be distributed to the OEAB but may not be reviewed prior to the meeting date.

Special Accommodations: Requests for sign language interpretation or other auxiliary aids should be directed to the

Designated Federal Officer by December 4, 2020.

David Holst,

Director Chief Financial Officer/CAO, Office of Oceanic and Atmospheric Research, National Oceanic and Atmospheric Administration.

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COMMODITY FUTURES TRADING COMMISSION

Privacy Act of 1974; System of Records

AGENCY: Commodity Futures Trading Commission.

ACTION: Notice of a modified system of records.

SUMMARY: The Commodity Futures Trading Commission (CFTC or Commission) is modifying CFTC-50, LabCFTC, a system of records under the Privacy Act of 1974.

DATES: In accordance with 5 U.S.C. 552(e)(4) and (11), this notice will go in to effect without further notice on November 3, 2020 unless otherwise revised pursuant to comments received. New routine uses will go in to effect on December 3, 2020. Comments must be received on or before December 3, 2020.

ADDRESSES: You may submit comments identified as pertaining to “LabCFTC” by any of the following methods:

- **CFTC Website:** <https://comments.cftc.gov>. Follow the instructions for submitting comments through the Comments Online process on the website.
- **Mail:** Christopher J. Kirkpatrick, Secretary of the Commission, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW, Washington, DC 20581

• **Hand Delivery/Courier:** Same as Mail, above.

Please submit your comments using only one method.

All comments must be submitted in English, or if not, accompanied by an English translation. Comments will be posted as received to <https://www.cftc.gov>. You should submit only information that you wish to make available publicly. If you wish the Commission to consider information that you believe is exempt from disclosure under the Freedom of Information Act (FOIA), a petition for confidential treatment of the exempt information may be submitted according to the procedures established in § 145.9 of the Commission’s regulations, 17 CFR 145.9.

The Commission reserves the right, but shall have no obligation, to review, pre-screen, filter, redact, refuse, or remove any or all of a submission from <https://www.cftc.gov> that it may deem to be inappropriate for publication, such as obscene language. All submissions that have been redacted or removed that contain comments on the merits of the notice will be retained in the comment file and will be considered as required under all applicable laws, and may be accessible under the FOIA.

FOR FURTHER INFORMATION CONTACT:

Chief Privacy Officer, Charlie Cutshall, 202-418-5833, privacy@cftc.gov, Office of the Executive Director, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW, Washington, DC 20581.

SUPPLEMENTARY INFORMATION: In service to the CFTC’s goal of encouraging innovation and enhancing the regulatory experience for market participants at home and abroad, the CFTC established LabCFTC, an official operating office that reports directly to the Chairman of the Commission. LabCFTC’s mission is to promote responsible innovation among the financial industry, stakeholders, and policymakers by:

- Advancing policy and regulation in financial innovation;
- Facilitating dialogue between innovators and those within the CFTC on financial and technological innovations;
- Educating internal and external stakeholders on financial technology and innovation in the financial markets to identify how innovations are being used; and
- Coordinating internally and externally with International, Federal, and State regulators, organizations, and associations.

LabCFTC is designed to make the CFTC more accessible to FinTech innovators and serves as a platform to inform the Commission’s understanding of new technologies. LabCFTC allows FinTech innovators to engage with the CFTC, learn about the CFTC’s regulatory framework, and obtain feedback and information on the implementation of innovative technology ideas for the market. Further, LabCFTC functions as an information source for the Commission and CFTC staff on responsible FinTech innovation that may influence policy development. LabCFTC allows FinTech innovators to engage with the CFTC, learn about the CFTC’s regulatory framework, and obtain feedback and information on the implementation of innovative technology ideas for the market.