

Agency name	Organization name	Position title	Request No.	Date vacated
DEPARTMENT OF JUSTICE	Office of Legislative Affairs	Attorney Advisor	DJ180129	08/24/2019
DEPARTMENT OF STATE	Office of Policy Planning	Senior Advisor	DS180062	08/30/2019
DEPARTMENT OF THE INTERIOR	Secretary's Immediate Office	Senior Advisor to the Secretary	DI180072	08/31/2019
DEPARTMENT OF THE TREASURY.	Office of the Assistant Secretary (Public Affairs).	Public Affairs Specialist	DY180061	08/02/2019
DEPARTMENT OF TRANSPORTATION.	Office of the Assistant Secretary for Transportation Policy.	Director of Public Engagement	DT190005	08/31/2019
	Office of the Executive Secretariat	Deputy Director	DT180070	08/23/2019
		Special Assistant to the Director	DT190054	08/31/2019
	Office of the Secretary	Senior Advisor to the Secretary	DT170051	08/17/2019
		Special Assistant for Scheduling and Advance.	DT180058	08/31/2019
ENVIRONMENTAL PROTECTION AGENCY.	Office of Public Affairs	Public Affairs Specialist	EP190019	08/03/2019
	Office of Public Engagement and Environmental Education.	Special Advisor for Agriculture Outreach.	EP190077	08/17/2019
	Office of the Administrator	Special Assistant	EP180003	08/03/2019
		Senior Advisor for Health and Human Safety.	EP190016	08/09/2019
		Deputy White House Liaison	EP180096	08/17/2019
		Policy Advisor	EP180095	08/31/2019
	Office of the Assistant Administrator for Air and Radiation.	Environmental Engineer	EP180090	08/17/2019
	Office of the Assistant Administrator for Chemical Safety and Pollution Prevention.	Confidential Assistant	EP180082	08/03/2019
	Office of the Assistant Administrator for Research and Development.	Legislative Affairs Specialist	NN190002	08/31/2019
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION.	Office of Legislative and Intergovernmental Affairs.	Deputy Press Secretary	BO180024	08/31/2019
OFFICE OF MANAGEMENT AND BUDGET.	Office of Communications	Confidential Assistant	SE190002	08/16/2019
SECURITIES AND EXCHANGE COMMISSION.	Office of the Chairman	Regional Administrator, Region III	SB170065	08/31/2019
SMALL BUSINESS ADMINISTRATION.	Office of Field Operations			

Authority: 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR, 1954–1958 Comp., p. 218.

Office of Personnel Management.

Stephen Hickman,
Federal Register Liaison.

[FR Doc. 2022–15896 Filed 7–25–22; 8:45 am]

BILLING CODE 6325–39–P

OFFICE OF PERSONNEL MANAGEMENT

Excepted Service

AGENCY: Office of Personnel Management (OPM).

ACTION: Notice.

SUMMARY: This notice identifies Schedule A, B, and C appointing

authorities applicable to a single agency that were established or revoked from November 1, 2021 to November 30, 2021.

FOR FURTHER INFORMATION CONTACT: Julia Alford, Senior Executive Resources Services, Senior Executive Services and Performance Management, Employee Services, 202–606–2246.

SUPPLEMENTARY INFORMATION: In accordance with 5 CFR 213.103, Schedule A, B, and C appointing authorities available for use by all agencies are codified in the Code of Federal Regulations (CFR). Schedule A, B, and C appointing authorities applicable to a single agency are not codified in the CFR, but the Office of Personnel Management (OPM) publishes a notice of agency-specific

authorities established or revoked each month in the **Federal Register** at www.gpo.gov/fdsys/. OPM also publishes an annual notice of the consolidated listing of all Schedule A, B, and C appointing authorities, current as of June 30, in the **Federal Register**.

Schedule A

No Schedule A Authorities to report during November 2021.

Schedule B

No Schedule B Authorities to report during November 2021.

Schedule C

The following Schedule C appointing authorities were approved during November 2021.

Agency name	Organization name	Position title	Authorization No.	Effective date
DEPARTMENT OF AGRICULTURE	Office of Under Secretary for Natural Resources and Environment.	Confidential Assistant	DA220015	11/05/2021
	Farm Service Agency	Confidential Assistant	DA220016	11/05/2021
		State Executive Director—Alabama	DA220020	11/18/2021
		State Executive Director—Colorado	DA220023	11/29/2021
		State Executive Director—Delaware.	DA220022	11/18/2021
		State Executive Director—Iowa	DA220014	11/18/2021

Agency name	Organization name	Position title	Authorization No.	Effective date
DEPARTMENT OF COMMERCE ...	National Institute of Food and Agriculture.	Senior Advisor	DA220018	11/05/2021
	Office of the General Counsel	Confidential Assistant	DA220027	11/30/2021
	Office of Rural Development	State Director—Iowa	DA220013	11/18/2021
	Bureau of Industry and Security	Congressional Affairs Specialist	DC220011	11/05/2021
	Bureau of the Census	Chief of Congressional Affairs	DC220009	11/05/2021
	National Oceanic and Atmospheric Administration.	Special Assistant	DC210123	11/05/2021
	National Telecommunications and Information Administration.	Senior Advisor	DC220010	11/05/2021
DEPARTMENT OF DEFENSE	Office of Legislative and Intergovernmental Affairs.	Director for Oversight	DC220008	11/05/2021
	Office of Policy and Strategic Planning.	Senior Policy Advisor	DC220007	11/05/2021
	Office of the Assistant Secretary of Defense (Legislative Affairs).	Special Assistant	DD220015	11/15/2021
	Office of the Director (Cost Assessment and Program Evaluation).	Special Assistant	DD220017	11/17/2021
	Washington Headquarters Services	Defense Fellow (4)	DD220013	11/11/2021
			DD220011	11/17/2021
			DD220014	11/17/2021
DEPARTMENT OF THE AIR FORCE.	Office of Assistant Secretary Air Force for Financial Management and Comptroller.	Special Assistant	DD220016	11/17/2021
DEPARTMENT OF EDUCATION ...	Office of the Secretary	Director, Strategic Partnerships	DF220003	11/19/2021
	Office for Civil Rights	Deputy Assistant Secretary for Legal Affairs.	DB220003	11/09/2021
DEPARTMENT OF ENERGY	Office of Management	Deputy Assistant Secretary for Legal Affairs.	DB220002	11/11/2021
	Office of Policy	Director of Advance	DE220003	11/05/2021
GENERAL SERVICES ADMINISTRATION.	Office of Congressional and Intergovernmental Affairs.	Chief of Staff	DE220005	11/05/2021
	Office of Administration for Children and Families.	Deputy Associate Administrator for Policy (2).	GS220004	11/15/2021
DEPARTMENT OF HEALTH AND HUMAN SERVICES.	Office of Intergovernmental and External Affairs.	Special Assistant	GS220005	11/18/2021
		Director, Center for Faith-Based and Neighborhood Partnerships.	DH220022	11/15/2021
		Regional Director, New York, NY, Region II.	DH220021	11/15/2021
		Regional Director, San Francisco, CA, Region IX.	DH220016	11/15/2021
		Regional Director, Seattle, WA, Region X.	DH220012	11/01/2021
		Senior Advisor for Strategic Initiatives.	DH220011	11/10/2021
	Office of the Assistant Secretary for Administration.	Managing Director for Strategy Office of Cyber, Infrastructure, Risk, and Resilience.	DH220017	11/15/2021
DEPARTMENT OF HOMELAND SECURITY.	Office of Strategy, Policy, and Plans.	Regional Administrator (3)	DM220015	11/26/2021
	Office of Field Policy and Management.		DU220009	11/16/2021
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT.			DU220008	11/22/2021
			DU220011	11/24/2021
DEPARTMENT OF THE INTERIOR	Office of the General Counsel	Senior Counsel	DU220007	11/24/2021
	Secretary's Immediate Office	Advance Representative	DI220001	11/02/2021
DEPARTMENT OF JUSTICE	Office of Justice Programs	Senior Advisor	DI220007	11/22/2021
		Senior Policy Advisor (2)	DJ220013	11/19/2021
			DJ220018	11/30/2021
DEPARTMENT OF LABOR	Office of Environment and Natural Resources Division.	Chief of Staff and Senior Counsel	DJ220019	11/30/2021
	Office of the Secretary	Travelling Special Assistant	DL220010	11/09/2021
	Office of Federal Contract Compliance Programs.	Senior Advisor	DL220004	11/22/2021
	Office of Congressional and Intergovernmental Affairs.	Legislative Officer	DL220009	11/22/2021
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION.	Office of the Administrator	White House Liaison	NN220004	11/10/2021
UNITED STATES INTERNATIONAL DEVELOPMENT FINANCE CORPORATION.	Overseas Private Investment Corporation.	Special Assistant	PQ220001	11/24/2021
DEPARTMENT OF STATE	Office of the Under Secretary for Civilian Security, Democracy, and Human Rights.	Deputy Special Envoy to Monitor and Combat Anti-Semitism.	DS220006	11/16/2021
DEPARTMENT OF TRANSPORTATION.	Office of the Assistant Secretary for Transportation Policy.	Labor Policy Advisor	DT220010	11/29/2021

Agency name	Organization name	Position title	Authorization No.	Effective date
DEPARTMENT OF THE TREASURY.	Office of the Assistant Secretary for Terrorist Financing.	Special Assistant	DY220006	11/18/2021

The following Schedule C appointing authorities were revoked during November 2021.

Agency name	Organization name	Position title	Request No.	Vacate date
DEPARTMENT OF EDUCATION ...	Office of Planning, Evaluation and Policy Development.	Special Assistant	DB210054	11/20/2021
DEPARTMENT OF JUSTICE	Office of the Secretary	Confidential Assistant	DB210070	11/05/2021
	Office of the Associate Attorney General.	Chief of Staff	DJ210132	11/20/2021
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION.	Office of the Administrator	White House Liaison	NN210017	11/06/2021
		Special Assistant for Operations	NN210060	11/20/2021

Authority: 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR, 1954–1958 Comp., p. 218.

Office of Personnel Management.

Stephen Hickman,

Federal Register Liaison.

[FR Doc. 2022–15895 Filed 7–25–22; 8:45 am]

BILLING CODE 6325–39–P

SECURITIES AND EXCHANGE COMMISSION

[SEC File No. 270–601, OMB Control No. 3235–0673]

Proposed Collection; Comment Request; Extension: Rule 15c3–5

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549–2736

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (“PRA”) (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (“Commission”) is soliciting comments on the existing collection of information provided for in Rule 15c3–5 (17 CFR 240.15c3–5) under the Securities Exchange Act of 1934 (15 U.S.C. 78a *et seq.*) (“Exchange Act”). The Commission plans to submit this existing collection of information to the Office of Management and Budget (“OMB”) for extension and approval.

Rule 15c3–5 under the Exchange Act requires brokers or dealers with access to trading directly on an exchange or alternative trading system (“ATS”), including those providing sponsored or direct market access to customers or other persons, to implement risk management controls and supervisory procedures reasonably designed to

manage the financial, regulatory, and other risks of this business activity.

The rule requires brokers or dealers to establish, document, and maintain certain risk management controls and supervisory procedures as well as regularly review such controls and procedures, and document the review, and remediate issues discovered to assure overall effectiveness of such controls and procedures. Each such broker or dealer is required to preserve a copy of its supervisory procedures and a written description of its risk management controls as part of its books and records in a manner consistent with Rule 17a–4(e)(7) under the Exchange Act. Such regular review is required to be conducted in accordance with written procedures and is required to be documented. The broker or dealer is required to preserve a copy of such written procedures, and documentation of each such review, as part of its books and records in a manner consistent with Rule 17a–4(e)(7) under the Exchange Act, and Rule 17a–4(b) under the Exchange Act, respectively.

In addition, the Chief Executive Officer (or equivalent officer) is required to certify annually that the broker or dealer’s risk management controls and supervisory procedures comply with the rule, and that the broker-dealer conducted such review. Such certifications are required to be preserved by the broker or dealer as part of its books and records in a manner consistent with Rule 17a–4(b) under the Exchange Act. Compliance with Rule 15c3–5 is mandatory.

Respondents consist of broker-dealers with access to trading directly on an exchange or ATS. The Commission estimates that there are currently 520 respondents. To comply with Rule 15c3–5, these respondents will spend a

total of approximately 83,200 hours per year (160 hours per broker-dealer × 520 broker-dealers = 83,200 hours). At an average internal cost per burden hour of approximately \$401.89, the resultant total related internal cost of compliance for these respondents is \$33,437,040 per year (83,200 burden hours multiplied by approximately \$401.89/hour). In addition, for hardware and software expenses, the Commission estimates that the average annual external cost would be approximately \$20,500 per broker-dealer, or \$10,660,000 in the aggregate (\$20,500 per broker-dealer × 520 brokers and dealers = \$10,660,000).

Written comments are invited on (a) whether the proposed collection of information is necessary for the proper performance of the functions of the Commission, including whether the information shall have practical utility; (b) the accuracy of the Commission’s estimates of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted in writing by September 26, 2022.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information under the PRA unless it displays a currently valid OMB control number.

Please direct your written comments to: David Bottom, Director/Chief Information Officer, Securities and Exchange Commission, c/o John Pezzullo, 100 F Street NE, Washington,